

Operational Feasibility Assessment of the Free Route Airspace concept in the ASEAN Region

Koji Tominaga^{1)†}, Amos Chan Ken Wen¹⁾, Joe Sultana²⁾, Michael Schultz³⁾, Eri Itoh⁴⁾, and Vu N. Duong¹⁾

¹⁾*Air Traffic Management Research Institute, Nanyang Technological University, Singapore*

²⁾*Sultana ATM-Consult, Malta*

³⁾*University of the Bundeswehr Munich, Germany*

⁴⁾*The University of Tokyo, Japan*

[†]*email: koji@ntu.edu.sg*

Free Route Airspace (FRA) allows airspace users to freely plan a route in en-route airspaces within certain restrictions, and it is anticipated that the FRA offers the benefit of fuel saving and flexibility. In this paper, the benefits and operational feasibility of the Free Route Airspace concept within the ASEAN consisting 12 Flight Information Regions (FIRs) are evaluated using fast-time simulation. We simulated approximately 10000 flights per day for 15 days (or double the volume of it) for two routing scenarios (conventional Air Traffic Services airways rules vs. FRA rules). Results showed that traffic efficiency metrics are fewer in FRA than in the conventional rules via Air Traffic Services airways, with approximately 2 % improvement in fuel burn, flight duration and flown distance. The number of potential conflicts, an operational feasibility metric, was fewer in FRA. When zooming into individual FIRs, we found that local FRA routing rules could be added to avoid cluster of conflicts. This study presents one of the first systematic benefits and operational feasibility studies of FRA in the Southeast Asia region. All results considered, this study presents an initial confirmation and prospect towards application of the FRA concept in the region.

Key Words : Free Route Airspace, Environment, Air Traffic Management, ASEAN airspace, Fast time simulation

1. Introduction

Free Route Airspace (FRA)^{1,2)} lets airspace users (AUs) to freely plan a route in en-route airspaces within certain restrictions such as avoiding special use airspaces including military airspaces as well as entry and exit waypoint requirements. It is a stark contrast to the more common routing rule via Air Traffic Services airways and other published routing in Aeronautical Information Publication. FRA offers the benefit of fuel saving and planning flexibility to the AUs.³⁾ At the same time, at least in its deployment in Europe, air traffic controller workload has not been increased due to the introduction of FRA, and safety has not been compromised. Whereas the FRA implementation is mandated in European Commission, there is no FRA application outside of Europe to date.

Against the background of the introduction of FRA a more flexible air traffic and airspace management is needed. The sector-less approach⁴⁾ is limited to a maximum number of six flights that an air traffic controller can monitor (cf.⁵⁾) and validation scenarios show only slight improvements over current procedures.⁶⁾ Especially for more complex traffic scenarios, a higher workload is to be expected despite support by advanced controller working position.⁷⁾ Approaches for dynamic airspace configuration⁸⁾ and sectorization⁹⁾ show an appropriate solution to handle a different amount of traf-

fic over the day of operations but still consider the concept of sectors.

As FRA provides airspace users with a new degree of freedom to choose their preferred routes, it also results in a more heterogeneous, disordered traffic pattern. Since traffic complexity increases controller workload, sector capacities could be affected by new traffic management concepts. Traffic complexity considers, for example, individual flight characteristics (e.g., changes in altitude, heading, speed) or interactions between two or more flights.¹⁰⁾ The latter is addressed by determining potential conflicts, which are significantly determined by the disorder among aircraft, which is driven by, for example, the variability of heading and speed.¹¹⁾ Optimized, flow-based, aligned structures may reduce the complexity of traffic situations, decrease workload, and increase airspace capacity.¹²⁾ In the future, operational FRA systems must be able to bundle user-oriented trajectories and offer dynamic control structures for efficient and safe flights.

This paper provides an operational feasibility assessment of the FRA concept, applied to the ASEAN en-route airspaces. We aim to identify and advise on regional or local limitations that hinder deployment through a macroscopic Fast-Time Simulation (FTS) study. We further evaluate the efficacy of FRA in the eventual traffic demand, projected to

be double in late 2030s in the Asia Pacific (APAC) regions.

2. Airspace and routes configuration

Today's Air Traffic Services route network in the ASEAN region are depicted in Figure 1. Keeping to the Transfer of Control (TOC) waypoints and the directionality between the Area Control Centres in the region, we sketch a realisation of the FRA concept in the region, where direct traffic is made between (1) the horizontal entry waypoint or the end of the departure procedures (SID, Standard Instrumental Departure Route) and (2) the horizontal exit waypoint of the beginning of the arrival procedures (STAR, Standard Arrival Route), where restrictive areas (prohibited, danger, or restricted) are avoided via the shortest circumference (Figure 2).

3. Simulator experiments

3.1. Design of experiment

A 2×2 factorial design on two factors (traffic volume and lateral routing) are considered in this study. Traffic volume represents the demand for the commercial use of the ASEAN airspaces, having two levels (pre-COVID19, and double of it). Lateral routing represents the lateral routing rule, also having two levels (the conventional ATS Route Network rules and the FRA rules, assumed to follow direct routing via TOC waypoints at FIR boundaries). The scenarios are coded as shown in Table 1.

Table 1 Simulation scenarios.

| Code | Lateral routing | Traffic volume |
|-----------------|-----------------|----------------|
| Pre-COVID19 ATS | ATS | Pre-COVID19 |
| Pre-COVID19 FRA | FRA | Pre-COVID19 |
| Double ATS | ATS | Double |
| Double FRA | FRA | Double |

As for simulation repetition (i.e., number of days), we run continuous 15 days of simulation in which a day starts at 00:00 UTC and ends at 23:59 UTC. There is necessary warm-up and cool-down hours, but these hours are not monitored for metrics. The period captures a typical busy season, specifically 13-27 December 2019 for the current scenario. The period 13-27 December was previously identified in a Singapore study for year 2016, based on the en-route flight count in Singapore. The synopsis of the traffic scenarios is provided below (Table 2, Table 3, Figure 3).

3.2. Monitored metrics

The following metrics are extracted from simulation runs and used for comparison between the scenarios.

For the environment Key Performance Area:

- Fuel burn (kg).
- Flown distance (NM).
- Flight duration (hour).

For operational feasibility:

- Potential conflict: Potential conflicts are identified when any two aircraft lose both lateral and vertical separation requirements (<5 NM and <1000 feet, respectively). Potential conflicts are assumed to be resolved in real operations (not simulated in this study), either by ATFM measures, pre-tactical planning, or tactical ATC.
- Classification of potential conflicts: 3 types of vertical conflict types [Both Cruising, One In Vertical, Both In Vertical], and 3 types of lateral conflict types [Same Track, Crossing, Opposite] – i.e., total of 9 combination types – are recorded.
- Cluster of potential conflicts: A cluster represents a chain of potential conflicts that happen within an 8-minute time window.¹³⁾ For example, if a time window contains potential conflicts between flights A and B, A and C, B and C, A and D, as well as B and D, then the cluster has 5 conflicts and is involved by 4 aircraft A, B, C and D.
- Cluster size: Cluster size refers to the number of aircraft involved in a cluster. In the above example, the size is 4. The greater the cluster size is, the more challenging the ATC provisions typically becomes.
- 'Large' clusters: We follow an understanding that conflicts of a cluster involving many aircraft are challenging to resolve. In this study, we use a convenient term 'large' to refer to clusters size 6 or greater (involving 6 aircraft or more).

3.3. Simulated airspace and simulator

We consider the following airspace configuration (Table 4) for the simulation scope. We deploy the fast-time simulator AirTOP in this study. In its simulator setting we did not simulate Air Traffic Control. In other words, we do not instruct the simulator to detect conflicts in advance or resolve them. Instead, the simulator is configured to report the duration of the conflict, i.e., time at which both separation requirements are not met, and the subsequent time at which one of the separation requirements is met.

3.4. Traffic scenarios

For the purpose of running this simulation study, the term traffic refers to the following: a set of flights with information on origin and destination aerodromes, aircraft type, entry point into the studied airspace, time at the entry point into each FIR, and cruising altitude (or RFL), as well as lateral routing (point to point routing). We refer to flight schedule data for constructing simulation traffic. See Table 5 for the overview of these data requirements.

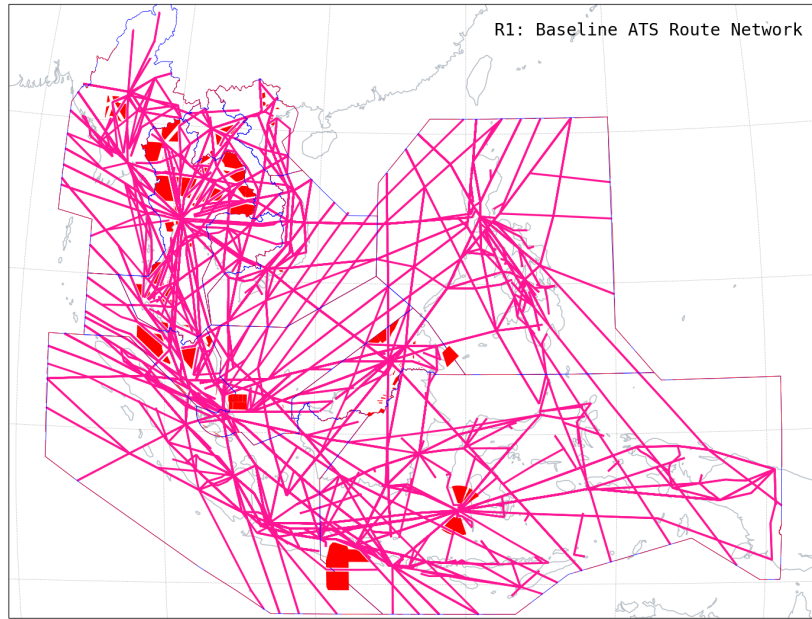


Fig. 1 Baseline ATS route network of ICAO airways in the region

Table 2 Synopsis of traffic scenarios.

| Class | Coding | High-level representation | Main description |
|-----------------|-------------|--|--|
| Traffic volume | Pre-COVID19 | Pre-COVID19 busiest demand | Approximately 10000 flights per day (for entire ASEAN) |
| | Double | Possible future demand in late 2030s or early 2040s | Double of Pre-COVID19 |
| Lateral routing | ATS FRA | Present routing rules Alternative (FRA) routing rules | ATS airways + STARs/SIDs DCT segments between the TOC waypoints (avoids D areas, FIR boundaries) + STARs/SIDs |

3.4.1. Lateral routing: general

We consider 2 levels of lateral routing: ATS Route Network (to represent the baseline) and FRA. Common to both routing scenarios are about departure and arrival routing, namely:

- Use of SIDs where available, and
- Use of STARs where available.

The ATS and FRA scenarios differ in routing between the end of the SID and the beginning of the STAR. If airspace data regarding SID and STAR are not available, the routing via a nearby waypoint or navigation aid is created. For ease of reading, we refer to either the end of SID or the origin aerodrome for the case without SIDs as simply the entry waypoint (the entry to en-route routes). Likewise, we refer to either the beginning of the STAR or the destination aerodrome for the case without STARs as simply the exit waypoint (the exit from en-route routes). We consider entry and exit waypoints in a similar manner as FRA Horizontal Entry/Exit Points or FRA Arrival/Departure Connecting

Points (E, X, A, D, respectively). Table 6 indicates how these points are decided.

3.4.2. Lateral routing: ATS Route Network

The ATS Route Network scenario represents the routing rules presently applied and serves as the baseline. As the name suggests, the routing is via airways in the ATS Route Network. We refer to static airspace data by Lido, which are based on AIPs in the region, dated as of February 2021. However, owing to the lack of detailed local knowledge regarding routing restrictions, we have limited veracity of real operations. We compute the shortest path from the entry waypoint to the exit waypoint via the network (segments) of airways. We retain the directional nature of TOC waypoints as in the present operations. We use a simple Dijkstra’s algorithm¹⁴ with the cost (weight of the edges of the graph) being scaled to the distance and vertices being the waypoints and the aerodromes.

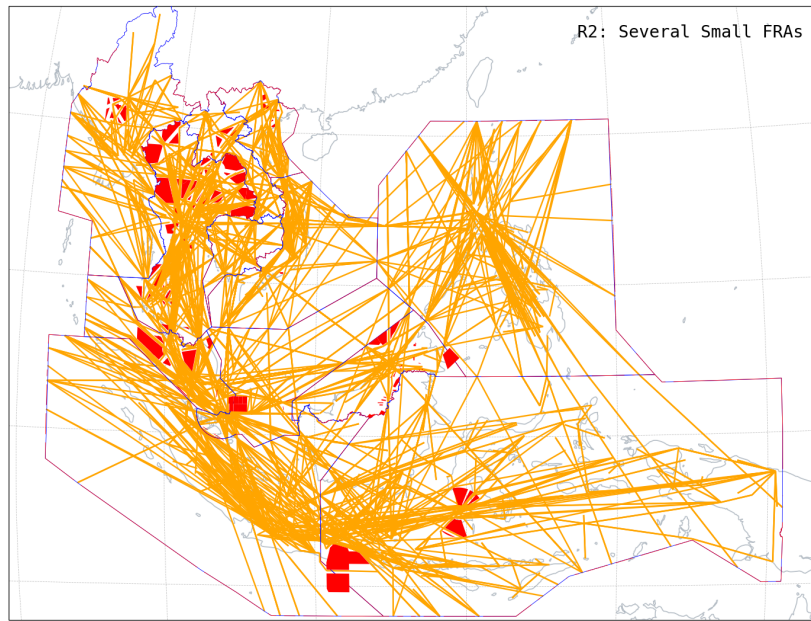


Fig. 2 Hypothetical Free Route Network routes studied in this paper

Table 3. Number of simulated flights (per day, 90th percentile) in each scenario. The numbers for the ATS and FRA scenarios slightly differ due to a shorter routing set which might traverse a different set of FIRs en route.

| FIR designator | Name | Pre-COVID19 ATS | Pre-COVID19 FRA | Double ATS | Double FRA |
|----------------|---------------|-----------------|-----------------|------------|------------|
| RPHI | Manila | 1444 | 1445 | 2887 | 2889 |
| VDPF | Phnom Penh | 459 | 443 | 1013 | 972 |
| VLVT | Vientiane | 1036 | 1068 | 2282 | 2340 |
| VTBB | Bangkok | 2475 | 2482 | 5137 | 5158 |
| VVHM | Ho Chi Minh | 2027 | 1992 | 4128 | 4062 |
| VVHN | Hanoi | 1174 | 1175 | 2460 | 2461 |
| VYYF | Yangon | 907 | 907 | 1841 | 1840 |
| WAAF | Ujung Pandang | 2092 | 2080 | 4190 | 4166 |
| WBFC | Kota Kinabalu | 608 | 592 | 1217 | 1182 |
| WIIF | Jakarta | 2387 | 2337 | 4771 | 4673 |
| WMFC | Kuala Lumpur | 1896 | 1896 | 3800 | 3804 |
| WSJC | Singapore | 1880 | 1855 | 3775 | 3730 |

3.4.3. Lateral routing: Free Route Airspace

The FRA lateral routing scenario represents a possible near-time-horizon implementation of FRA in the ASEAN region, where the existing TOC waypoints at the FIR boundaries are considered. This near-term FRA could be based on 'several small' FRAs, and can be contrasted for a more ideal longer-time-horizon implementation of 'single large' FRA, where all individual FRAs are collapsed into a big cross-border FRA (not studied in our simulation).

We consider military use of certain airspaces to be excluded from usage by commercial traffic. The routing is

determined (or 'drawn') according to the following. From the entry waypoint or the beginning of the en-route phase (i.e., the end of SID), all connecting TOC waypoints interfacing to adjacent FIRs are considered, thereafter a network of TOC waypoints to TOC waypoints are considered as possible segment candidates. This is repeated in the next FIR. Ultimately, the segment connection arrives at the destination FIR, in which the route from the TOC waypoint candidates to the exit waypoint or the end of en-route phase of flight are considered (i.e., at the beginning of STAR). This exercise creates a mathematical graph of all possible routes, with which we could compute the shortest path according to the Dijkstra's algorithm. The following matters are additionally considered:

- Avoiding restrictive areas. P (prohibited), D (danger), R (restricted) areas are considered as 'airspace reservation' and routings must avoid them with minimum 2.5 NM around it. We compute the shortest path while avoiding these areas using a mathematical algorithm.
- Staying within the FIR. The FRA routing within an FIR must remain in the FIR unless some arrangements are made with the adjacent control authorities. In this study we assume that such arrangements where a short cutting of routing into adjacent ACC or FIR is not made. The flights are to stay away from the FIR boundary with minimum 2.5 NM around it.
- Direction at TOC waypoints. We retain the uni-

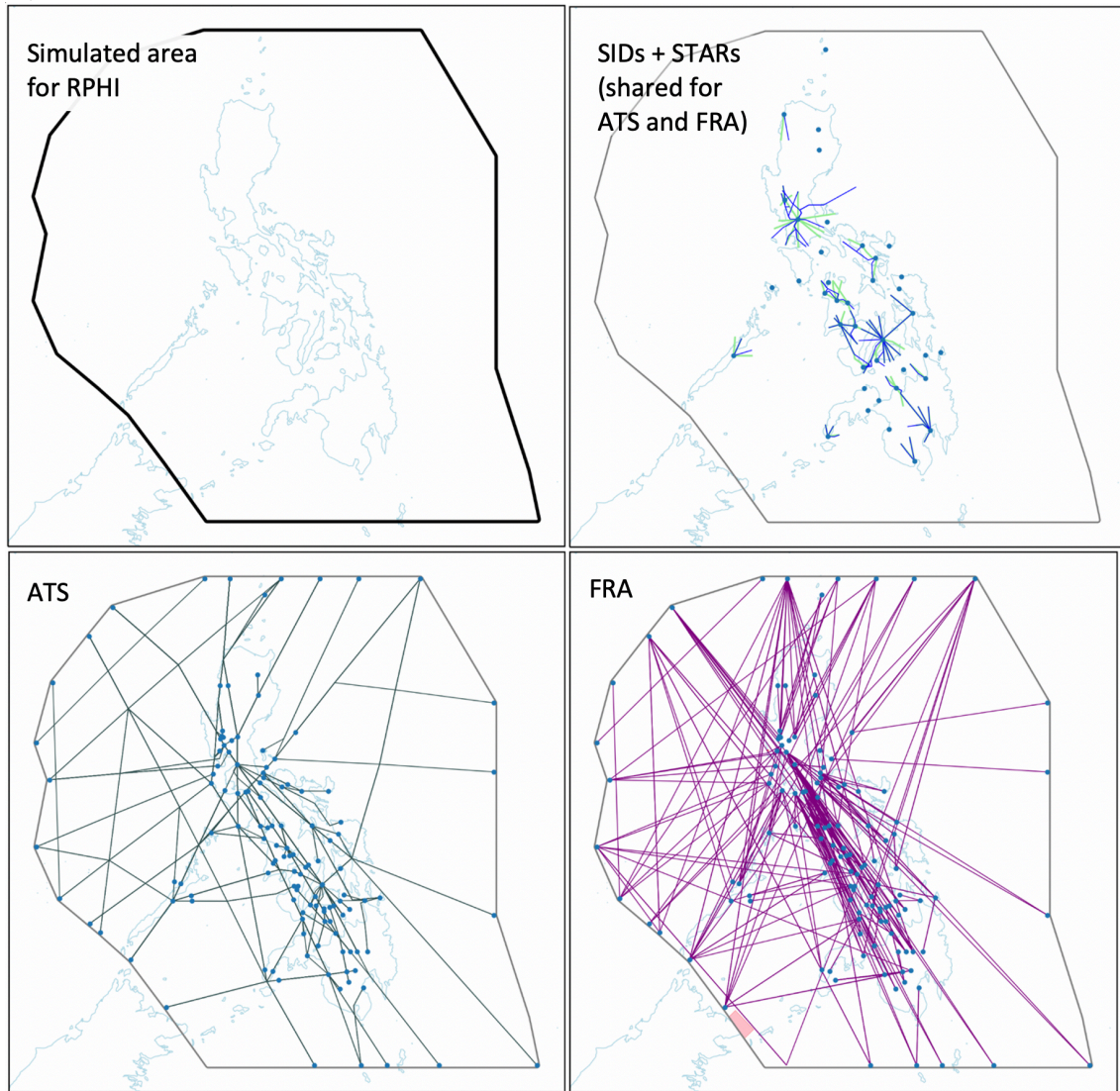


Fig. 3. Example of simulated routing: (upper left) simulated area, (upper right) routing for departures and arrivals, (lower left) en-route routing in the ATS scenario, (lower right) en-route routing for FRA scenario, for the Manila FIR (RPHI) in this simulation study.

directional nature of the TOC waypoints as in the present operations.

3.4.4. Traffic volume: general

We consider two levels of volume of traffic in this study: Pre-COVID19 and Double. Traffic volume scenario affects entry waypoint time-over and RFL.

3.4.5. Traffic volume: Pre-COVID19 scenario

First, we describe the Pre-COVID19 traffic volume scenario. The Pre-COVID19 traffic volume scenario represents the latest typically high season traffic of the pre-COVID19 era (13-27 December 2019).

The flight schedule data offers departure time as ticked for passenger purchase. As such, it is not a precise or accurate representation of take-off time and subsequent tra-

jectory (trackpoint times, passing times for waypoints), for which potential conflicts are relevant. Recognising this challenge, we back-compute departure time in the simulation by time-shifting the entire 4D trajectory of the flight, while we create 4-minute intervals at entry waypoints. The 4-minute intervals are computed separately for layers of RFL. By introducing the 4-minute time interval we roughly represent the impacts of

- the ATFM measures and
- ATC provisions (e.g., effecting necessary manoeuvres for maintaining separation) in the upstream airspaces, such as the departure TMAs or preceding FIRs on the traffic flow.

The 12 FIRs of ASEAN (Table 3) are simulated separately for areas in which ATC services are provided by their respec-

Table 4 Elements of airspaces considered in this study.

| Airspace Element | Details |
|--|---|
| Vertical Limits | 'Floor' at FL130; to account SID legs and STAR legs that stick out above FL130 to be counted for additional filtering of conflicts, and merging point time, vertical and profile |
| Horizontal Extents | All 12 FIRs in ASEAN |
| Flight Level Assignment | Combination of semicircular FLOS, South China Sea FLAS and Vietnam FLAS |
| Military Airspaces | Consider all P, D, R areas that are above FL130 and restricted most of the days, except airways that cut across them. |
| Internal Transfer of Control (TOC) Points, or TOC waypoints between 2 ASEAN FIRs | Geographical locations and unidirectional nature (if applicable) considered in ATS Route Network and FRA scenarios. The full details of LOAs regarding TOC procedures are not simulated. Parameters such as time intervals, any vertical movements, cruising levels, headings and turn angles at TOCs in simulation have been computed for readers to separately identify possible discrepancies if they are able to do so. |
| Externally Facing TOC waypoints, or TOC waypoints between an ASEAN FIR and a non-ASEAN FIR | Geographical locations and unidirectional nature (if applicable) considered in all scenarios. Parameters such as time intervals, any vertical movements, cruising levels, heading, and turn angles at TOCs in simulation have been documented for readers to separately identify possible discrepancies if they are able to do so. |
| Air Traffic Control | No ATC provided. |

Table 5. Overview of data for constructing traffic in this simulation.

| Traffic elements | Data availability | Needed for simulation |
|-----------------------------------|---|--------------------------------------|
| Nature of data | Schedule, only plans (not accurate timing) | n/a |
| Coverage of population of flights | Scheduled commercial passenger flights, representing the demand | Ideally all civil flights |
| Origin aerodrome | Yes | Yes |
| Destination aerodrome | Yes | Yes |
| Aircraft type | Yes | Yes |
| Entry point and time | No | Only either is needed |
| Departure time | Yes but not accurate | |
| Cruising altitude | No | Yes |
| Lateral routing | No | Considered separately in 2 scenarios |

Table 6 Entry and Exit waypoints considered in simulation.

| Origin or destination aerodromes | Entry | Exit |
|----------------------------------|------------------------------------|-------------------------------------|
| ASEAN airports | STAR/SID exists | End of SID |
| | STAR/SID does not exist | Runway |
| non-ASEAN airports | First TOC waypoint into ASEAN FIRs | Last TOC waypoint out of ASEAN FIRs |

tive ACC(s), resulting in 12 separate simulation runs for a scenario. Longitudinal separation at 4-minute intervals for each RFL are imposed, if necessary, only at the entry into respective airspace. As a result, for a flight flying from FIR A to FIR B (thus traversing between 2 simulation runs), the exit time and flight level from FIR A at a TOC waypoint, may not always coincide with the entry time and flight level into FIR B at the same TOC waypoint.

3.4.6. Traffic volume: Double scenario

In Double scenario, the cloned flights are set in addition to the original Pre-COVID19 scenario along the time axis,

somewhere near the original time. We consider the two approaches depending on the frequency of a city pair (origin-destination, or OD pair).

- For a frequented OD pair, we assume that the double demand shall manifest in the same hourly time slot. Thus, cloned flights are inserted while maintaining 4-minute time intervals. If the slot is full and flights overflow, we lower the cruising level of spill-over flights to the next available level. We also consider time changes within an hour slot for lowered flights, to minimise the lowering.
- For a less-frequented OD pair, we assume that double demand manifests 3 hours after the original time. We consider the same spill-over-flights-to-next-level mechanism as frequented OD pairs.
- We define that an OD pair is considered frequented that have more than 3 flights a day on average (45 or more flights in the 15-day simulation period of 13-27 December 2019).

4. Results

An example traffic situation for FRA Double scenario at FL400 is shown in Figure 4. The presented figure consists of 12 separate smaller simulations.

4.1. Cross-FIR vs FIR-specific

Because we have 12 FIR-specific simulations representing a traffic across FIRs, we have two types of aggregations presented here: (i) cross-FIR metrics and (ii) FIR-specific metrics.

Cross-FIR metrics are summation of metrics from the 12 FIR-specific simulations, following each flight, from the entry waypoint into an en-route airspace in ASEAN to the exit waypoint out of an en-route airspace in ASEAN. Unlike the FIR-specific metrics, these cross-FIR metrics may high-

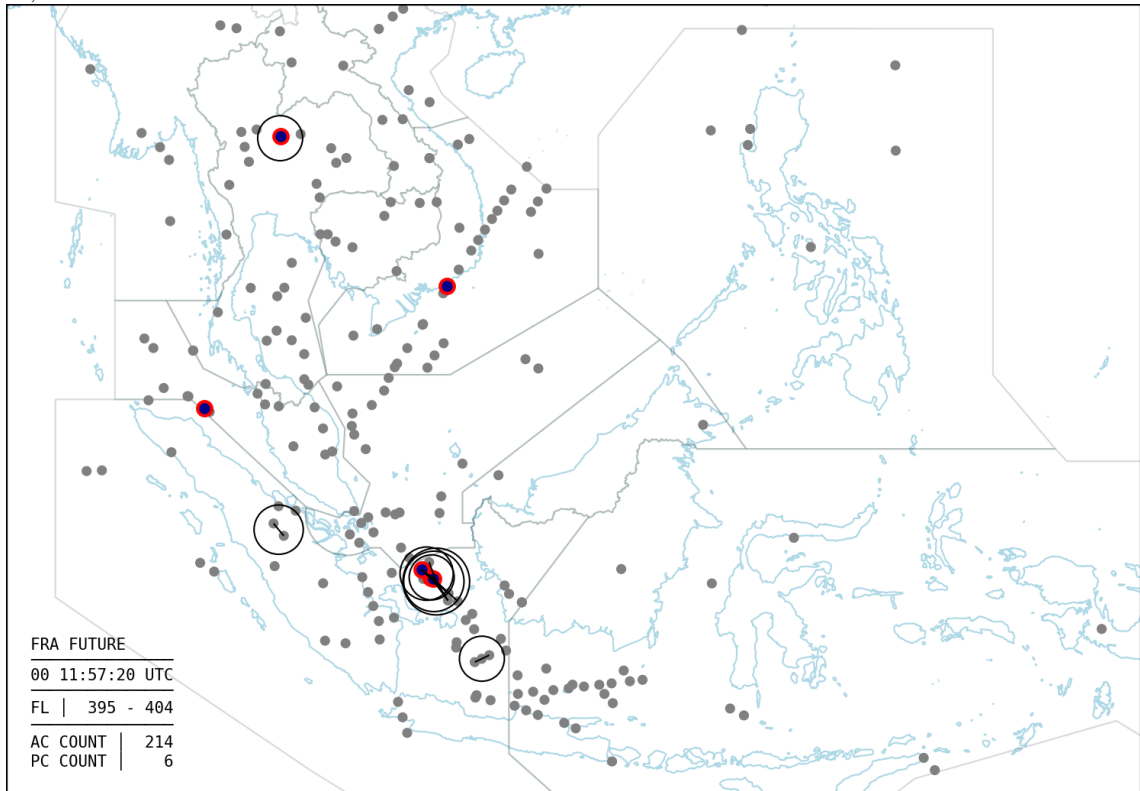


Fig. 4. An example traffic situation for FL400 under the future FRA scenario. The potential conflicts are not resolved in this simulation study and indicated in red. The cluster of potential conflicts, which are a chain of potential conflicts within an 8-minute window, are shown in black circle, with the size of circle corresponding to the size of cluster, or the number of aircraft participating in the cluster.

light more efficient full-course routings brought about by an ASEAN FRA. Airspace users may choose to alter:

- The sequence of FIRs that a flight transits through; and
- The routing of a flight within an FIR, which might be shorter/longer and might enter/exit via a different TOC waypoint.

It is therefore possible that some FIRs may face more flights transiting and/or with longer routing, whereas other FIRs may encounter fewer flights. This may bring about some changes in ATS workload in respective FIRs (Table 7).

4.2. Cross-FIR metrics

In this section we present the cross-FIR, flight-specific metrics for operational feasibility (Table 8). These are useful when one evaluates the overall, macroscopic benefits of the FRA concept.

4.2.1. Lateral routing comparison

Compared with ATS, FRA yielded more favourable values in all en-route cross-FIR metrics relating to Environment KPA and Potential Conflicts. These include (in Pre-COVID19 scenarios): 8.6 % fewer en-route potential conflicts, 51.5 % fewer large clusters of potential conflicts ,

2.3 % shorter flight time, 1.2 % less fuel burn, and 2.0 % shorter distance, as well as (in Double scenarios): 10.0 % fewer en-route potential conflicts, 49.8 % fewer large clusters of potential conflicts , 2.2 % shorter flight time, 1.3 % less fuel burn, and 1.9 % shorter distance.

Our results confirm the utility of FRA (i.e., favourable metrics achieved simply by allowing lateral routing changes within FRA rules), irrespective of the traffic volume.

4.2.2. Traffic volume comparison

Although there are improvements with FRA, all the Double scenarios serving double traffic volume may pose operational feasibility challenges compared with the Pre-COVID19 traffic volume scenarios (pre-COVID19). For example, there are approximately 4 times more potential conflicts in Double scenarios than those in Pre-COVID19 scenarios. Similarly, there are approximately 20 times more large clusters in Double scenarios than those in Pre-COVID19 scenarios. These challenges are largely due to the sheer increase in traffic volume and additional ATM concepts would be needed to mitigate them.

Table 7 Benefits and possible concerns of FRA seen from various perspectives.

| Perspective | Benefits of FRA compared with ATS | Concerns of FRA compared with ATS |
|--|---|--|
| (FIR-specific) 'FIRs with greater demand (in FRA than in ATS), where the ANSPs serve more flights for a longer time flown. | In some traffic patterns the ANSP might still enjoy fewer potential conflicts despite more flights, more flight distance, more flight time. | Concerns on having more potential conflicts, more flights to provide ATS, longer flight distance and time to provide ATS. Changes in hotspots. |
| (FIR-specific) 'FIRs with less demand (in FRA than in ATS) | Fewer potential conflicts, fewer flights to provide ATS, shorter flight distance and time to provide ATS. | Changes in hotspots. |
| (Cross-FIR) Environment KPA | Less fuel burn | Nil |

Table 8 Cross-FIR daily metrics based on 15-day-long simulation.

| | Pre-COVID19 Scenarios | | | Double Scenarios | | |
|-----------------------------------|-----------------------|---------|----------------|------------------|---------|----------------|
| | ATS | FRA | Difference (%) | ATS | FRA | Difference (%) |
| Potential Conflicts (total) | 2765 | 2320 | -16.1 | 10842 | 8818 | -18.7 |
| Potential Conflicts (+FL285) | 1983 | 1603 | -19.2 | 7752 | 6025 | -22.3 |
| Potential Conflicts (FL130-FL285) | 812 | 736 | -9.4 | 3156 | 2839 | -10.0 |
| Large Clusters | 33 | 16 | -51.5 | 630 | 316 | -49.8 |
| Flight Time (hour) | 9447 | 9234 | -2.3 | 19187 | 18774 | -2.2 |
| Fuel (tonne) | 30172 | 29803 | -1.2 | 61519 | 60721 | -1.3 |
| Flight Distance (NM) | 4130964 | 4050209 | -2.0 | 8421259 | 8258392 | -1.9 |
| Number of Flights | 9915 | 9915 | 0 | 20158 | 20158 | 0 |

4.3. FIR-specific metrics

Table 9 presents the total count of potential conflicts as well as count layers by upper en-route (above FL285) and lower en-route (FL130-FL285) airspace, with the boundaries at FL130 and FL285 chosen at convenience for presentation purpose. In most scenarios, the potential conflict counts are lower in FRA than in ATS, as expected. For the Pre-COVID19 traffic scenarios, there were instances when conflict counts were lower in ATS than in FRA (highlighted in green).

The following are the possible explanations for FRA yielding more potential conflicts.

- More flights in FRA than in ATS (VLVT Vientiane FIR and VTBB Bangkok FIR). These two FIRs are located centrally and along the direct great world circle between origin and destination (if both ASEAN) or between entry to ASEAN and the destination (if non-ASEAN origin), or the origin and the exit from ASEAN (if non-ASEAN destination).
- Routing overlapping due to the new routing in FRA environment (VYYF and WSJC).

Table 10 presents the metrics related to the clusters of potential conflicts, which are additional metrics that aim to represent traffic complexity that add on to the ATC workload. Observing the Double scenarios, we note that both the number of large clusters and the maximum size of potential conflict clusters are fewer and smaller in FRA than in ATS (9 FIRs out of 12 FIRs). An ad hoc investigation revealed

that these cases in which FRA is worse than ATS are due to one FRA routing in each FIR involving descending and climbing AC, which became evident only after the simulation runs following a broad-stroke routing rules for the entire region. An FTS study such as the present study offers utility in detecting such clusters. Towards implementation, these hotspots can be mitigated either at the planning and airspace design stage, by introducing local routing rules, or at the tactical stage by level-off manoeuvring.

We dig further into the classification of these potential conflicts by horizontal and vertical movements of the pair of involved aircraft. The results show that the various composition changes in the potential conflict classification between ATS and FRA scenarios (Table 11).

5. Analyzing hot-spots

We embarked on an ad hoc analysis of conflict hotspots observed in the Fast-Time Simulation for the en-route airspace in which Singapore provides ATS. Here, the hotspots are computed by spatially connecting the potential conflicts recorded in the simulation. Geometric buffer of 5 NM is applied to each potential conflicts. The resultant polygons are dissolved, and the convex hull operations are applied. We retain those hotspots which has more than 4.0 occurrences per day.

Figure 5 shows the hotspot locations. In FRA, some hotspots originally present in the ATS scenario will be shifted, disappear or appear. However, based on the present simulation study, there will be predictable (or recurring over days) hotspot locations. Thus, en route ATCOs will require

Table 9 Number of potential conflicts per days.

| Metric Traffic volume Lateral routing | Number of flights | | | | Conflicts total | | | | Conflicts +FL285 | | | | Conflicts FL130-FL285 | | | |
|---|-------------------|------|--------|------|-----------------|-----|--------|------|------------------|-----|--------|------|-----------------------|-----|--------|-----|
| | Pre-COVID19 | | Double | | Pre-COVID19 | | Double | | Pre-COVID19 | | Double | | Pre-COVID19 | | Double | |
| | ATS | FRA | ATS | FRA | ATS | FRA | ATS | FRA | ATS | FRA | ATS | FRA | ATS | FRA | ATS | FRA |
| RPHI | 1443 | 1444 | 2887 | 2889 | 240 | 138 | 954 | 503 | 174 | 87 | 712 | 298 | 66 | 54 | 243 | 202 |
| VDPF | 458 | 443 | 1012 | 971 | 35 | 25 | 135 | 109 | 30 | 20 | 109 | 84 | 7 | 5 | 31 | 29 |
| VLVT | 1035 | 1068 | 2282 | 2340 | 116 | 119 | 475 | 447 | 112 | 118 | 464 | 433 | 3 | 2 | 12 | 15 |
| VTBB | 2475 | 2482 | 5137 | 5158 | 459 | 421 | 1849 | 1656 | 298 | 264 | 1208 | 1066 | 166 | 158 | 655 | 595 |
| VVHM | 2027 | 1992 | 4127 | 4061 | 369 | 303 | 1316 | 1000 | 308 | 243 | 1074 | 789 | 68 | 61 | 249 | 224 |
| VVHN | 1174 | 1174 | 2460 | 2461 | 97 | 85 | 376 | 315 | 61 | 48 | 242 | 181 | 36 | 38 | 137 | 137 |
| VYYF | 906 | 906 | 1840 | 1840 | 70 | 74 | 253 | 252 | 48 | 58 | 183 | 198 | 23 | 18 | 76 | 57 |
| WAAF | 2092 | 2080 | 4190 | 4165 | 378 | 251 | 1507 | 1028 | 285 | 166 | 1129 | 699 | 100 | 91 | 372 | 331 |
| WBFC | 608 | 591 | 1217 | 1182 | 56 | 45 | 214 | 172 | 35 | 27 | 145 | 107 | 22 | 19 | 73 | 68 |
| WIIF | 2386 | 2336 | 4770 | 4672 | 529 | 451 | 2140 | 1718 | 354 | 301 | 1426 | 1150 | 178 | 148 | 721 | 576 |
| WMFC | 1895 | 1895 | 3800 | 3804 | 241 | 235 | 962 | 923 | 148 | 141 | 575 | 525 | 95 | 96 | 394 | 401 |
| WSJC | 1880 | 1854 | 3774 | 3730 | 175 | 173 | 661 | 695 | 130 | 130 | 485 | 495 | 48 | 46 | 193 | 204 |

Table 10 Metrics for clusters of potential conflicts.

| Metric Traffic volume Lateral Routing | Number of flights | | | | Large clusters | | | | Maximum cluster size | | | |
|---|-------------------|------|--------|------|----------------|-----|--------|-----|----------------------|-----|--------|-----|
| | Pre-COVID19 | | Double | | Pre-COVID19 | | Double | | Pre-COVID19 | | Double | |
| | ATS | FRA | ATS | FRA | ATS | FRA | ATS | FRA | ATS | FRA | ATS | FRA |
| RPHI | 1443 | 1444 | 2887 | 2889 | 1 | 0 | 45 | 8 | 6 | 5 | 10 | 7 |
| VDPF | 458 | 443 | 1012 | 971 | 0 | 0 | 15 | 1 | 4 | 3 | 9 | 6 |
| VLVT | 1035 | 1068 | 2282 | 2340 | 0 | 4 | 41 | 29 | 5 | 6 | 9 | 9 |
| VTBB | 2475 | 2482 | 5137 | 5158 | 8 | 2 | 103 | 48 | 9 | 6 | 14 | 9 |
| VVHM | 2027 | 1992 | 4127 | 4061 | 7 | 0 | 92 | 21 | 7 | 5 | 16 | 8 |
| VVHN | 1174 | 1174 | 2460 | 2461 | 2 | 0 | 9 | 7 | 6 | 5 | 9 | 7 |
| VYYF | 906 | 906 | 1840 | 1840 | 2 | 2 | 5 | 11 | 6 | 6 | 6 | 7 |
| WAAF | 2092 | 2080 | 4190 | 4165 | 5 | 2 | 85 | 28 | 6 | 6 | 16 | 9 |
| WBFC | 608 | 591 | 1217 | 1182 | 0 | 0 | 3 | 0 | 5 | 4 | 7 | 5 |
| WIIF | 2386 | 2336 | 4770 | 4672 | 6 | 1 | 157 | 82 | 8 | 6 | 16 | 12 |
| WMFC | 1895 | 1895 | 3800 | 3804 | 1 | 5 | 60 | 63 | 6 | 7 | 10 | 11 |
| WSJC | 1880 | 1854 | 3774 | 3730 | 0 | 0 | 10 | 14 | 5 | 5 | 7 | 8 |

time to adjust/update their mental and memory familiarity of hotspot locations, but the recurring nature enables their learning of the new airspace organisation.

6. Discussion

Towards realizing FRA operation, a key challenge is reducing potential hot-spots and composing "ideal" patterns of air traffic. Our past works clarified that ATCOs would accept handling larger amount of air traffic volume if there were less interference among the traffic. For example, it was acceptable to control clusters of flights cruising at the same direction. However, if even one aircraft crossed the cluster, it would significantly increase ATCOs' workload.

For realizing the ideal traffic pattern, our future works will tackle on the following challenges. Firstly, we analyze the features of the traffic patterns at the potential hot-spots. Secondly, we propose a systematic approach to update time-schedules of air traffic, which groups oncoming flights while avoiding traffic interference. Applying time-varying queuing theory enables us to understand the features of air traffic patterns and to control time-varying air traffic inflow and outflow in the target areas. In the past researches, the time-varying queuing model was applied to reducing departure

queue at a single runway.¹⁵⁾ Authors' work¹⁶⁾ developed time-varying queuing network models to provide a framework for integrated departure and surface air traffic management at airports. These works discussed that the proposing model-based framework was applicable to design general ATM systems, not only for airport operation, but also for air traffic flow control in airspace.

7. Conclusions

This work presents a macroscopic simulation, which supports the benefits and operational feasibility of the Free Route Airspace concept in the ASEAN region. The benefits include about 2 % improvement in traffic efficiency metrics (fuel burn, flight duration, and flown distance), as well as a general reduction in potential conflicts. Utility of fast-time simulation for identifying a possible hotspot, which can be circumvented by introducing local traffic rules are also presented. When zoomed into a specific FIR, there will be shifting in the nature of the conflicts, as evidenced by the composition of potential conflicts by horizontal and vertical movements, as well as by the change in hotspot positions.

Towards implementation, beyond this initial fast-time simulation study, evaluating the local and regional capabilities in

Table 11. FIRs in which potential conflicts were more in Double FRA scenario than in Double ATS scenario, layered by classification of potential conflicts based on horizontal and vertical movements of the pair of aircraft creating the potential conflict.

| | RPHI | VDPF | VLVT | VTBB | VVHM | VVHN | VYYF | WAAF | WBFC | WIIF | WMFC | WSJC |
|-------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|
| Both cruising - crossing | x | | | | | x | x | | x | x | x | |
| Both cruising - opposite | | | x | | | x | | | | x | | x |
| Both cruising - same track | | | x | | x | x | | x | | x | | x |
| One in vertical - crossing | | x | x | | | x | x | | x | x | x | |
| One in vertical - opposite | | | | | | | | x | | | x | |
| One in vertical - same track | | | x | | | | | | | | | |
| Both in vertical - crossing | x | | x | | x | x | x | | | | x | x |
| Both in vertical - opposite | | x | x | x | | | x | | | | | x |
| Both in vertical - same track | | x | x | x | | | | x | | | | |

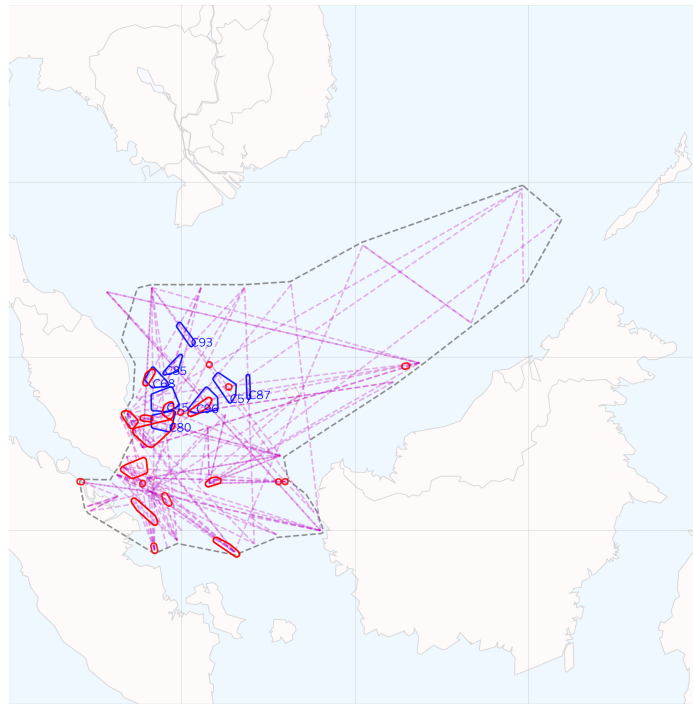


Fig. 5 Hotspot locations in Double scenarios.

communications, navigation and surveillance (CNS) will be crucial for laying out timelines. Furthermore, we discussed our future challenges to control time-varying air traffic inflow and outflow of the FRA for avoiding conflicts at the potential hot-spots. These future works will contribute to understanding features of air traffic patterns and to composing the ideal traffic patterns. The expected outcomes will contribute to improve efficiency and safety of the FRA operation under acceptable ATCOs' workload.

Separately, moving ahead towards greater effectiveness

of the FRA concept, the following studies are suggested. 1) Fast-time simulation assuming cross-border FRAs (where individual FRAs are collapsed into one free routing, where the transfer of control may take place along area of responsibility boundary lines, which allows even more direct and shorter routing), and 2) regional CNS capability outlook.

Acknowledgments

This research is supported by the National Research Foundation, Singapore, and the Civil Aviation Authority of Singapore, under the Aviation Transformation Programme. Any opinions,

findings and conclusions or recommendations expressed in this material are those of the author(s) and do not reflect the views of National Research Foundation, Singapore and the Civil Aviation Authority of Singapore.

References

- 1) Kraus, J.: Free Route Airspace (FRA) in Europe. Perner's Contacts, 6(4), pp. 129–135 (2011).
- 2) Renner, P., Rohacs, D., Papp, G., Kling, F.: The effects of the introduction of Free Route (HUFRA, Hungarian Free Route Airspace) in the Hungarian Airspace, 8th SESAR Innovation Days, 2018, Salzburg, Austria, pp. 1–8.
- 3) Nava-Gaxiola, C.A., Barrado, C., Royo, P., Pastor, E.: Assessment of the North European free route airspace deployment, *Journal of Air Transport Management*, 73, pp. 113–119 (2018).
- 4) V. Duong et al. Sector-less air traffic management: Initial investigations. *Air Traffic Control Quarterly*, 10(4):379–393, 2002.
- 5) B. Birkmeier. Feasibility analysis of sectorless and partially automated air traffic management. PhD thesis, Technische Universität Braunschweig, 2015.
- 6) B. Korn et al. Validating sectorless ATM in the Hungarian airspace: Results of human in the loop simulations. In *2020 Integrated Communications Navigation and Surveillance Conference (ICNS)*, 2020.
- 7) A.P.G. Martins et al. Feasibility study of flight centric mode of operations - a human performance approach. In *9th SESAR Innovation Days*, 2019.
- 8) M. Sergeeva, D. Delahaye, C. Mancel, and A. Vidosavljevic. Dynamic airspace configuration by genetic algorithm. *Journal of Traffic and Transportation Engineering*, 4(3):300–314, 2017
- 9) I. Gerdes, A. Temme, and M. Schultz. Dynamic airspace sectorisation for flight-centric operations. *Transportation Research Part C: Emerging Technologies*, 95:460–480, 2018.
- 10) J. Djokic, B. Lorenz, and H. Fricke. Air traffic control complexity as workload driver. *Transportation Research Part C: Emerging Technologies*, 18(6):930–936, 2010.
- 11) R. Mogford, J. Guttman, M. S. L., and P. Kopardekar. The complexity construct in air traffic control: A review and synthesis of the literature. DOT/FAA/CT-TN-95/22, FAA Technical Center: Atlantic City, 1995.
- 12) I. Gerdes, A. Temme, and M. Schultz. From free-route air traffic to an adapted dynamic main-flow system. *Transportation Research Part C: Emerging Technologies*, 115:102633, 2020.
- 13) Granger, G., Durand, N.: A traffic complexity approach through cluster analysis. *5th ATM R&D Seminar*, Budapest, Hungary, 2003, pp. 1–10.
- 14) Dijkstra, E.W.: A note on two problems in connexion with graphs, *Numerische Mathematik*
- 15) E. Itoh, M. Mitici, and M. Schultz. Modeling Aircraft Departure at a Runway Using a Time-Varying Fluid Queue. *Aerospace*, 9(3),119, 2022.
- 16) E. Itoh and M. Schultz. Designing a Framework of Integrated Aircraft Departure and Surface Traffic Operation via Queuing Network Models. *Proc. Fifteenth USA/Europe Air Traffic Management Research and Development Seminar (ATM2023)*, June 2023.